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ATTORNEY'S DOCKET NUMBER FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE (REV. 7-2005) 884A.0126.U1(US) TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/IB2003/004310 2 September 2003 2 September 2003 TITLE OF INVENTION Huffman Coding and Decoding APPLICANT(S) FOR DO/EO/US Jiunn BAY Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 1. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. The US has been elected (Article 31). 4. 5.  $\boxtimes$ A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. 🖾 is attached hereto (required only if not communicated by the International Bureau). b. 📮 has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. 🗆 is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d. 🗀 have not been made and will not be made. 8.  $\Box$ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12.  $\boxtimes$ A copy of the International Search Report (PCT/ISA/210). Items 13 to 23 below concern document(s) or information included: 13. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14.  $\boxtimes$ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. A FIRST preliminary amendment. П 16 A SECOND or SUBSEQUENT preliminary amendment. 17. A substitute specification. 18. A power of attorney and/or change of address letter. 19 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 20 A second copy of the published International Application under 35 U.S.C. 154(d)(4). 21. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).  $\boxtimes$ 22. Express Mail Label No. EV 749 833 499 US

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PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO (if known, see 37 CFR 15) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER 89456666997 PCT/IB2003/004310 884A.0126.U1(US) 23. Other items or information: **CALCULATIONS PTO USE** The following fees have been submitted: \$300 \$300.00 Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report \$200.00 prepared by IPEA/US indicates all claims satisfy provisions of PCT Article All other situations..... \$200 26. ☑ Search fee (37 CFR 1.492(b))
If the written opinion of the ISA/US or the International preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). . . \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the \$400.00 as an International Searching Authority..... \$100 International Search Report prepared by an ISA other than the US and provided to the \$400 \$500 TOTAL OF 24, 25 and 26 = \$900.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).

The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Number of each additional 50 or Extra Sheets RATE fraction thereof (round up to a whole - 100 = 0 /50 = \$ O х \$250.00 \$0.00 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or \$ declaration after the date of commencement of the national stage (37 CFR 1.492(h)). **CLAIMS** NUMBER FILED **NUMBER EXTRA** RATE Total claims 35 - 20 = 15 \$50.00 \$ \$750.00 X Independent claims x \$200.00 \$800.00 MULTIPLE DEPENDENT CLAIMS (if applicable) \$360.00 \$ \$0.00 **TOTAL OF ABOVE CALCULATIONS =** \$2,450.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by \$0.00 SUBTOTAL = \$ \$2,450.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from \$ \$0.00 the earliest claimed priority date (37 CFR 1.492(i)). \$ \$2,450.00 TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property \$40.00 **TOTAL FEES ENCLOSED =** \$2,490.00 Amount to be \$

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Amount to be



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NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.			
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In re U.S. Patent Application of:

Applicant: Bay U.S. Serial No.:

International Application No.: PCT/IB2003/004310

International Filing Date: 2 September 2003

Title: Huffman Coding and Decoding

Attorney Docket No.: 884A.0126.U1(US)

## Certificate of Mailing

I hereby certify that the following correspondence:

Transmittal Letter to U.S. Designated/Elected Office

**Preliminary Amendment** 

WO 2005/022754 A1 published application

Declaration and Power of Attorney

Assignment and Form PTO-1595

Information Disclosure Statement with PTO-1449 and references

International Search Report

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Date: February 9, 2006

Lynn Maroldi